



Health & Safety Core Standards Reference Chart For Informal Providers Receiving Subsidy

Effective July 2025

	NE	NA	TA	Low Risk	Medium Risk	High Risk	Extreme Risk
				Non-compliance = 1 point	Non-compliance = 2 points	Non-compliance = 6 points	10 points - Potential CAPS Dismissal
Staff: Child Ratios	Observe the number of children during visit & Informal Provider's documentation						
<p>Staffing: The total number of children in care cannot exceed six. Of the children in care, no more than two can be unrelated for pay.</p> <p>If the relative care is for more than six related children for pay (as described by Child Care Services licensing rules), they are required to obtain a license from DECAL.</p> <p>If the non-relative cares for more than two unrelated children for pay, s/he is required to obtain a license from DECAL.</p>	If no children are present	Never	NA	NA	Ratio citation with or without an incident and/or injury with no medical attention or with medical attention as a precaution	Ratio citation with an incident and/or injury requiring professional medical attention OR could be seriously detrimental to the child(ren)	Incident resulting in death, extreme or permanent injury
Supervision	Observe for adequate supervision, Informal Provider is physically present in the area and able to supervise all children.						
Children shall be supervised at all times. "Supervision" means that the Informal Provider is physically present in the area where children are being cared for and is providing watchful oversight to the children. The Informal Provider must be alert, and able to respond promptly to the needs and actions of the children being supervised.	If no children are present	Never	If Informal Provider observed not circulating; Short term limited visibility (i.e. room dark at naptime) *If TA documented on previous visit, move to Low Risk	Brief break in watchful oversight (excluding adult restroom breaks or stepping away to answer the door)	Supervision citation with or without an incident and/or injury with no medical attention or with medical attention as a precaution (i.e. children sleeping behind a closed door, Informal Provider going outside without the children, leaving the children alone, or going to a different level of the Home); No Adult present to supervise children	Supervision citation with an incident and/or injury requiring professional medical attention OR could be seriously detrimental to the child(ren)	

	NE	NA	TA	Low Risk	Medium Risk	High Risk	Extreme Risk
Comprehensive Background Checks	Review all CRC's for Informal Provider, Employees, Provisional Employees, and other adults residing in the Home						
(1) Satisfactory Fingerprint Records Check Determination or Satisfactory Comprehensive Records Check Determination Required	Never	Never	A Resident will be turning 17 years old; A new Resident is entering Home or a potential new employee; Advise of process to obtain CBCs with citation	NA	Satisfactory Comprehensive Records Check Determination not completed	Satisfactory Comprehensive Records Check Determination not completed and/or knowledge of a committed crime	Incident resulting in death, extreme or permanent injury or gross negligence that indicates a deficiency in program operation
(a) The Informal Provider must ensure that every actual and potential resident (including residents aged 17 and older) must submit both a Records Check Application to the Department and Fingerprints to an authorized fingerprinting site. Comprehensive Records Check Determination" means a satisfactory or unsatisfactory determination by the Department, based upon a Federal Bureau of Investigation fingerprint based criminal record check, a search of appropriate sex offender registries, repositories, or data bases compliance with relevant state and federal law, and a search of the following registries, repositories or databases in the state where the actual or potential employee or director resides and in each state where such individual resided during the preceding five years: criminal registry or repository; state sex offender registry or repository; and state based child abuse and neglect registry and database.	Never	Never	A Resident will be turning 17 years old; A new Resident is entering Home or a potential new employee; Advise of process to obtain CBCs with citation	NA	Satisfactory Comprehensive Records Check Determination not completed	Satisfactory Comprehensive Records Check Determination not completed and/or knowledge of a committed crime	Incident resulting in death, extreme or permanent injury or gross negligence that indicates a deficiency in program operation

Comprehensive Background Checks	NE	NA	TA	Low Risk	Medium Risk	High Risk	Extreme Risk
<p>(b) Every Informal Provider must have a current and valid satisfactory Comprehensive Records Check Determination on file prior to being present at the program while any child is present for care or before residing at the program in the Provider. The Comprehensive Records Check Determination must have a Records Check Clearance Date that is no older than the preceding 12 months of the license date; provided, however, if the Informal Provider has had a lapse of employment from the child care industry that lasted for 180 days (six (6) months) or longer, a new satisfactory Comprehensive Records Check Determination is required. Comprehensive Records Check Determinations expire after five years from the fingerprint date, which appears in the first paragraph of the records check determination letter as the “based on information received” date. Any Provider or resident must obtain a new records check determination letter after any six (6) month break in service regardless of the expiration date. All records check determination letters are portable to other facilities during the first year and may be ported to as many locations as needed.</p>	Never	Never	Never	<p>Records Check Clearance Date on Comprehensive Records Check Determination older than preceding 12 months of license date OR Records Check Clearance Date on Comprehensive Records Check Determination is not older than the preceding 12 months of license date, but there was a lapse of employment from the industry greater than 180 days (6 months) or longer.</p>	Satisfactory Comprehensive Records Check Determination not completed	Satisfactory Comprehensive Records Check Determination not completed and/or knowledge of a committed crime	Incident resulting in death, extreme or permanent injury or gross negligence that indicates a deficiency in program operation
<p>(2) No actual or potential Informal Provider with an Unsatisfactory Records Check Determination may be present at home when any child is present for care.</p>	Never	Never	Never	NA	NA	Satisfactory Comprehensive Records Check Determination not completed and/or knowledge of a committed crime	Incident resulting in death, extreme or permanent injury or gross negligence that indicates a deficiency in program operation

	NE	NA	TA	Low Risk	Medium Risk	High Risk	Extreme Risk
First Aid & CPR							
The Provider, a Provisional Employee or Employee with current evidence of successful completion of pediatric cardiopulmonary resuscitation (CPR) and pediatric first aid must always be on the Provider's premises, on any field trip or transportation or whenever any Child is present.	Never	Never	NA	Only one staff person present in the Home with pediatric first aid and CPR training, but the training is expired.	No staff person present in the Home with any evidence of pediatric first aid and CPR training without an incident and/or injury	No staff person present in the Home with any evidence of pediatric first aid and CPR training with an incident and/or injury requiring professional medical attention	Incident resulting in death, extreme or permanent injury or gross negligence that indicates a deficiency in program operation
Diapering Areas and Practices	Inquire about procedures or observe actual diaper change						
Diapering surface should be clean and non-porous	If no diapered children are currently enrolled	Informal Provider does not provide care for diapered children	Turn textured side over for a smooth surface; Disinfectant not used according to manufacturer's instructions *If TA documented on previous visit, move to Low Risk	Changing pad has tears/non-smooth surface; Surface not properly disinfected;	There is evidence of isolated illness and confirmed lack of proper disinfection	There is evidence of widespread illness due to lack of proper disinfection (by CDC or HD)	Incident resulting in death, extreme or permanent injury
Discipline	Observe Providers interactions with children, ask about and review policy if children are napping or not present						
Disciplinary actions shall not be detrimental to physical or mental health	Never	Never	Observing inappropriate discipline methods: making a child face the wall for time out, a 4 y/r old standing in timeout for 5 min. instead of 4, children under 2 in timeout instead of redirection, behavior charts posted that use marks, colors, etc. by children's names *If TA documented on previous visit, move to Low Risk	Misuse or inappropriate use of time out or redirection; Staff encourages or does not intervene when a Parent disciplines their child within sight and/or hearing of other children; Staff convey understanding of discipline rules and report previous use of inappropriate discipline, such as popping a child's hand	Disciplinary action detrimental to child's physical or mental health which may or may not have resulted in an incident and/or injury with no medical attention or with medical attention as a precaution	Disciplinary action detrimental to child's physical health OR was seriously detrimental to a child's mental health with an incident and/or injury requiring professional medical attention	Incident resulting in death, extreme or permanent injury

Discipline	NE	NA	TA	Low Risk	Medium Risk	High Risk	Extreme Risk
Staff shall not: See disciplinary technique below for sanction level							
Physically/sexually abuse a child; Engage in sexually overt conduct in the presence of any child	Never	Never	NA	NA	NA	Physical abuse	Sexual abuse OR incident resulting in death, extreme or permanent injury
Inflict corporal/physical punishment	Never	Never	Corporal punishment by a Parent (not employed by the facility) of their own child to any body part (popping on hand, buttocks, legs) not within sight/hearing of other children	NA	Corporal punishment to any body part (popping on hand, buttocks, legs, etc.) without a bruise or mark	Corporal punishment to any body part (popping on hand, buttocks, legs) with a bruise or mark	Incident resulting in death, extreme or permanent injury
Shake, jerk, pinch or roughly handle	Never	Never	NA	NA	Jerk, handle roughly, or pinch without a bruise or mark or injury; Shake a child five years or older without an injury	Jerk, handle roughly, or pinch with a bruise or mark or injury; Shake a child under five years old with or without an injury; Shake a child five years or older with an injury	Incident resulting in death, extreme or permanent injury
Verbally abuse or humiliation (includes use of threats, profanity or belittling remarks about a Child or his family)	Never	Never	Tone of voice; Raised voice with negative implications; Mild threat to call Parent about behavior ("Do we need to call your mom?" or "You know what's going to happen when your mom gets here?") *If TA documented on previous visit, move to Low Risk	Raised voices with profanity or belittling remarks or threats. Use of profanity in general	Humiliating a child; Threatening physical harm; Screaming at a child, where child displays behavior demonstrating that he/she is afraid or upset	Extreme or repeated threats, humiliation or belittling remarks	NA

Discipline	NE	NA	TA	Low Risk	Medium Risk	High Risk	Extreme Risk
Isolate in a dark room, closet or unsupervised area	Never	Never	NA	NA	Isolation	Isolation with aggravating circumstances such as but not limited to the door being closed or the room being dark	Incident resulting in death, extreme or permanent injury
Use of mechanical or physical restraints or devices	Never	Never	NA	NA	Use of mechanical and/or physical restraints which may or may not have resulted in an incident and/or injury with no medical attention or with medical attention as a precaution	Use of mechanical and/or physical restraints with an incident and/or injury requiring professional medical attention OR restraints used for an egregious amount of time or there were egregious circumstances	Incident resulting in death, extreme or permanent injury
Use medication to control behavior	Never	Never	NA	NA	NA	Use of medication for discipline and/or to control behavior, other than as prescribed by a physician	Incident resulting in death, extreme or permanent injury
Restrict unreasonably from going to the bathroom	Never	Never	NA	Informal Provider fails to respond appropriately and/or timely to a child's request or need to toilet (Staff states, "You should have gone to the bathroom during the bathroom break and now you can't go.")	Child(ren) not allowed to go to the bathroom as punishment, which may or may not have resulted in an incident and/or injury with no medical attention or with medical attention as a precaution	Child(ren) not allowed to go to the bathroom as punishment with an incident and/or injury requiring professional medical attention OR was not allowed to go for an egregious amount of time	NA
Punishing toileting accidents	Never	Never	NA	Informal Provider uses an inappropriate form of discipline as punishment immediately following a toileting accident (a 3-year-old is put in time out for 3 minutes following a toileting accident)	Informal Provider uses an inappropriate form of discipline as punishment immediately following a toileting accident (a child is made to stand in the corner facing the wall following a toileting accident or a child was forced to clean up their own accident)	Restriction/ punishment for bathroom accidents with an incident and/or injury requiring professional medical attention OR with aggravating circumstances	Incident resulting in death, extreme or permanent injury

Discipline	NE	NA	TA	Low Risk	Medium Risk	High Risk	Extreme Risk
Force-feed or withhold feeding regularly scheduled meals/snacks	Never	Never	NA	Feeding of a child was intentionally delayed but still occurred during the current meal or snack service	Feeding of a child was delayed but still occurred after the current meal or snack but before the next meal or snack; Child was compelled to eat with no incident or injury	Child(ren) physically forced fed (a child's mouth is held and made to eat) which resulted in a serious incident and/or injury requiring professional medical attention; Food withheld (and not given to child for the entire day or for all meals or snack services)	Incident resulting in death, extreme or permanent injury
Force or withhold naps	Never	Never	Telling child(ren) that they must lay in a particular position on the mat or cot *If TA documented on previous visit, move to Low Risk	Force or withhold naps with no physical contact	Physically force/withhold naps without an incident or injury	Physically force naps with an incident and/or injury	Incident resulting in death, extreme or permanent injury
Allow Children to discipline or humiliate other Children	Never	Never	Informal Provider requires child(ren) to report the bad behavior of other child(ren) *If TA documented on previous visit, move to Low Risk	Informal Provider allows and/or encourages child(ren) to humiliate other child(ren) (name calling, belittling remarks, threats, use of profanity, etc.)	Informal Provider allows and/or encourages child(ren) to physically discipline each other with or without an incident and/or injury with no medical attention or with medical attention as a precaution	Informal Provider allows and/or encourages child(ren) to physically discipline each other with an incident and/or injury requiring professional medical attention OR discipline with aggravating circumstances	Incident resulting in death, extreme or permanent injury
Confine a Child for disciplinary purposes to equipment	Never	Never	NA	Child(ren) confined for discipline without an incident or injury	Child(ren) confined for discipline which resulted in an incident and/or injury with no medical attention or with medical attention as a precaution; Child(ren) confined for discipline for an extended amount of time	Child(ren) confined for discipline with an incident and/or injury requiring professional medical attention	Incident resulting in death, extreme or permanent injury

	NE	NA	TA	Low Risk	Medium Risk	High Risk	Extreme Risk
Medications	View stored medication. Review samples of medication documentation.						
Authorization: Specific written authorization from the Child's physician or Parent to dispense prescription or nonprescription medication to Child Storage: Medication stored as authorized/instructed and inaccessible to children Dispensing records: Include Child's name, name of medication, date(s) and time(s) administered, name of person administering	If medication has not been administered since last visit or since medication was last evaluated; If forms are inaccessible	If Informal Provider does not administer any medication	If planning to dispense medication in the future; Isolated instance of incomplete paperwork other than missing parent signature *If TA documented on previous visit, move to Low Risk	Authorization: Medication is on site with no medication authorization, but not dispensed Storage: Medication not stored as authorized/instructed OR medication accessible but not handled or ingested by a child (located in cubbies, drawer, etc.) Records: Incomplete dispensing documentation: not documenting date(s)/time(s) dispensed, name of person or medication etc.	Authorization: Dispensed medication without authorization with no adverse reaction; Medication not dispensed as authorized Storage: Medication handled with no incident or injury Records: Dispensed medication not documented at all with no incident or injury	Authorization: Dispensed medication without authorization with an adverse reaction; Medication dispensed to the wrong child Storage: Child ingested and/or handled medication with an incident and/or injury Records: Dispensed medication not documented at all with an incident and/or injury (i.e., over medicating a child)	Incident resulting in death, extreme or permanent injury
Physical Plant: Hazards	Evaluate for accessible hazards throughout home						
Hazardous materials (cleaning supplies, tobacco, aerosol dispensing cans, flammable and corrosive materials to include but not limited to heaters and fire places, cleaning supplies, poisons, insecticides, office supplies, and industrial-sized or commercial buckets with a capacity of three gallons or more or any other similar device with rigid sides which would not tip over if a toddler fell into the container head first.) stored securely away from children	Never	Never	Hazards in a room currently not in use for child care but potentially accessible to children; Isolated minor hazards: brooms, dustpans, toothpaste, etc. *If TA documented on previous visit, move to Low Risk **Soap that says "Keep Out of Reach of Children" never moves higher unless an incident and/or injury occurs	Hazards accessible with or without being handled by a child (plastic grocery bags, aerosol cans, items that say "Keep Out of Reach Children" etc.)	Serious/dangerous hazards handled by a child OR easily ingestible hazards (open container) that are accessible with or without being handled by a child which may or may not have resulted in an incident or injury with no medical attention or with medical attention as a precaution (cup of bleach on a shelf, sharp knife lying on a table, 3-gallon bucket of water)	Hazards accessible and handled or ingested with an incident and/or injury requiring professional medical attention	Incident resulting in death, extreme or permanent injury

Physical Plant: Hazards	NE	NA	TA	Low Risk	Medium Risk	High Risk	Extreme Risk
Weapons, (guns, hunting knives, related accessories, etc.) locked and out of reach of children	Never	If there are no firearms in the Home	If planning to have a firearm in the future	NA	NA	Weapons that are not safely stored or are accessible	Incident resulting in death, extreme or permanent injury
Working smoke detector and fire extinguisher at the residence	Never	Never	Smoke detector beeping indicating new batteries are required; Fire extinguisher on same level but more than 30 feet from the kitchen	No operable smoke detector on each floor of the Home. Fire extinguisher accessible to children	No smoke detector in Home. No fire extinguisher in Home. Inoperable fire extinguisher (empty or needing to be recharged)	No smoke detector or fire extinguisher with a fire in the Home	Incident resulting in death, extreme or permanent injury
Playgrounds	Playgrounds shall be protected from traffic or other hazards by a four (4) foot or higher secure fence or other barrier. Fencing materials shall not present a hazard to children and shall be maintained so as to prevent children from leaving the playground area by any means other than through an approved access route. Fence gates shall be kept closed except when persons are entering or exiting the area.						
Observe playgrounds for cleanliness/hazards; playground equipment for hazards/anchoring; fencing for hazards/height (measure); measure depth of fall zones under equipment	Climbing and swinging equipment shall be anchored and have a resilient surface beneath the equipment. The fall-zone from such equipment must be adequately maintained to ensure continuing resiliency. NOTE: Resilient surfacing is NOT required under infant/toddler bucket swings or infant/toddler swings that require the child to be buckled in. Playgrounds shall be kept clean, free from litter and free of hazards, such as but not limited to non-resilient surfaces under the fall-zone of play equipment, rocks, exposed tree roots and exposed sharp edges of concrete or equipment.						
Play areas protected from traffic or other hazards by fencing or other barriers at least four feet in height and approved by the Department; Fencing material shall not present a hazard to children	If there is severe weather in the area, assess the playground from the perimeter or window. If severe weather alert is issued, document on the checklist.	Never	IF NO FENCE/BARRIER, request Supervision Plan. TA for Not completely enclosed and child(ren) did not leave premises. Isolated minor fencing issue in a limited area: small gap (less than 3.5 inches wide and no entrapment or escape hazard; Isolated damage to fence and hazardous area made inaccessible to children. Fence not four feet high.	Cite under HAZARDS - Minor fencing hazards: loose wires, bolts measuring over 2 threads, rust, splintering wood, potential impalement hazard, exposed sharp prongs, nails or screws, fence not secured, etc. Potential entrapment hazard (gap that measures between 3.5 - 9 inches)	NA	Cite under SUPERVISION Actual entrapment occurs, with an incident and/or injury with no medical attention or with medical attention as a precaution OR Child left premises due to fence not completely enclosed, gate open, fence not four feet high. Cite under HAZARDS - Entrapment or fence hazards with an incident and/or injury requiring professional medical attention	Incident resulting in death, extreme or permanent injury

Playgrounds	NE	NA	TA	Low Risk	Medium Risk	High Risk	Extreme Risk
Outside play area shall be free of hazards such as sharp edges of concrete or non-play equipment, broken glass, debris, open drainage ditches, holes, stagnant water, etc.	If there is severe weather in the area, assess the playground from the perimeter or window. If severe weather alert is issued, document on the checklist.	Never	Isolated minor hazards: small amounts of trash/debris, minimal roots or yard debris. Mops or brooms outside of high traffic area; If one inch or less of standing water is observed *If TA documented on previous visit move to Low Risk	Widespread minor hazards: tripping hazards, yard debris, trash/debris; Presence of nests of and/or biting ants/stinging insects; Mops, brooms, or rakes accessible or in high traffic area; Standing water without a drowning hazard (1-2 inches); Tools/equipment in an enclosed but unlocked shed (not accessed by children); With no incident or injury	Dangerous playground hazards: lawn mowers, tools, discarded equipment or appliances. Children accessed nests of and/or biting ants/stinging insects; Standing water with a drowning hazard (2 inches or more); With or without an incident and/or injury with no medical attention or with medical attention as a precaution	Playground hazards with an incident and/or injury requiring professional medical attention (exposed nail causing an impalement/puncture injury, bitten by ants/stinging insects, exposed root causing broken arm, etc.)	Incident resulting in death, extreme or permanent injury
Climbing & swinging equipment that are not portable shall have a resilient surface beneath the equipment and fall zones which is adequately maintained to assure continuing resiliency	If there is severe weather in the area, assess the playground from the perimeter or window. If severe weather alert is issued, document on the checklist.	If there is no climbing or swinging equipment requiring resilient surfacing beneath and in fall zones	Compacted resilient surfacing; Portable equipment is observed on a hard surface and can be moved during the visit; Isolated grass growing in resilient surfacing	Inadequate amount of resilient surface: Climbing equipment under 5 feet tall (more than 0 inches but less than 3 inches); Climbing equipment that is 5 feet or greater in height or swinging equipment (more than 0 inches but less than 6 inches). Inadequate fall zones	No resilient surface with no incident or injury	No/inadequate resilient surface with an incident and/or injury requiring professional medical attention	Incident resulting in death, extreme or permanent injury
Safe Sleeping and Resting Requirements	A crib or other approved sleep equipment that are safety approved in compliance with Consumer Product Safety Commission (CPSC) and American Society of Testing and Materials International (ASTM) safety standards shall be provided for each infant. ("Infant" refers to any child under the age of twelve (12) months or any child who is under eighteen (18) months of age who is not walking.) A mattress shall be provided for each crib and shall be firm, tight-fitting without gaps, at least two inches (2") thick and covered with waterproof, washable material. Before a change of occupant, each mattress shall be cleaned with a disinfectant. Each crib shall have only an individual, tight-fitting sheet which is changed daily or more often as needed and prior to a change of occupant. Staff shall place an infant to sleep on the infant's back in a crib unless the Informal Provider has been provided with a physician's written statement authorizing another sleep position for that particular infant that includes how the infant shall be placed to sleep and a time frame that the instructions are to be followed.						
Observe or inquire about all infant sleep safety practices. Observe all cribs used for sleeping infants.							

	NE	NA	TA	Low Risk	Medium Risk	High Risk	Extreme Risk
Safe Sleeping and Resting Requirements	Safe Sleep Environment. Staff shall not place objects or allow objects to be placed in or on the crib with an infant such as but not limited to toys, pillows, quilts, comforters, bumper pads, sheepskins, stuffed toys, or other soft items. Staff shall not attach objects or allow objects to be attached to a crib with a sleeping infant, such as, but not limited to, crib gyms, toys, mirrors and mobiles.						
Cribs that meet CPSC and ASTM safety standards are provided for each infant	Never	If Informal Provider does not serve infants	If planning to care for infants in the future; Noncompliant crib(s) not being used, located in an area not used for childcare and removed from facility during the visit with sufficient compliant cribs available for # of enrolled infants	Noncompliant crib(s) not being used, located in an area not used for childcare and unable to be removed from facility during the visit with sufficient compliant cribs available for the number of enrolled infants	Noncompliant crib(s) in childcare area regardless of whether the crib is being used, which may or may not have resulted in an incident or injury with no medical attention or with medical attention as a precaution; Insufficient number of compliant cribs for number of enrolled infants which may or may not have resulted in an incident or injury with no medical attention or with medical attention as a precaution	Noncompliant crib(s) used with an incident and/or injury requiring professional medical attention	Incident resulting in death, extreme or permanent injury
Crib construction: Good repair and free of hazards; Stack cribs and cribs with drop sides not used.	Never	If Informal Provider does not serve infants	If planning to care for infants in the future	NA	Crib(s) not in good repair and/or hazards are present without an incident or injury; Stack crib(s) or crib(s) with drop sides used, which may or may not have resulted in an incident and/or injury with no medical attention or with medical attention as a precaution	Crib(s) not in good repair and/or hazards are present with an incident and/or injury requiring professional medical attention. Stack crib(s) or crib(s) with drop sides used with an incident and/or injury requiring professional medical attention	Incident resulting in death, extreme or permanent injury
Mattress: Firm, tight-fitting without gaps, at least 2 inches thick and covered with waterproof, washable material; Disinfected before change of occupant	Never	If Informal Provider does not serve infants	If planning to care for infants in the future; Noncompliant mattress not being used and located in an area not used for childcare	Mattress not two inches thick; Not covered with waterproof, washable material; Not disinfected before a change of occupant	Mattress is not tight-fitting or firm which may or may not have resulted in an incident and/or injury with no medical attention or with medical attention as a precaution	Mattress is not tight fitting or firm with incident and/or injury requiring professional medical attention	Incident resulting in death, extreme or permanent injury

Safe Sleeping and Resting Requirements	NE	NA	TA	Low Risk	Medium Risk	High Risk	Extreme Risk
Sheets: Individual and tight fitting and changed daily or more often as needed and prior to the change of an occupant	If Informal Provider serves infants, but none are currently enrolled and no sheets in use	If Informal Provider does not serve infants	If planning to care for infants in the future; If Informal Provider serves infants, but none are currently enrolled and sheet(s) not tight-fitting on crib mattress; Isolated instance of a sheet not tight-fitting in an unoccupied crib and the sheet can be changed during the visit. *If TA documented on previous visit move to Low Risk	Crib sheet is not changed daily or more often as needed; Crib sheet not changed prior to change of occupant	Crib sheet not tight-fitting and crib in use, which may or may not have resulted in an incident and/or injury with no medical attention or with medical attention as a precaution	Crib sheet not tight-fitting with an incident and/or injury requiring professional medical attention	Incident resulting in death, extreme or permanent injury
<p>Staff shall place an infant to sleep on the infant's back unless the Parent has provided a physician's written statement authorizing another sleep position for that particular infant that includes how the infant shall be placed to sleep and a time frame that the instructions are to be followed. When an infant can easily turn over from back to front and back again, Staff shall continue to put the infant to sleep initially on the infant's back but allow the infant to roll over into his or her preferred position and not re-position the infant. Sleepers, sleep sacks and wearable blankets that fit according to the commercial manufacturer's guidelines and will not slide up around the infant's face may be used when necessary for the comfort of the sleeping infant, however swaddling shall not be used unless the Informal Provider has been provided a physician's written statement authorizing its use for a particular infant that includes instructions and a time frame for swaddling the infant. Staff shall not place objects or allow objects to be placed in or on the crib with an infant such as but not limited to toys, pillows, quilts, comforters, bumper pads, sheepskins, stuffed toys, or other soft items and shall not attach objects or allow objects to be attached to a crib with a sleeping infant such as but not limited to crib gyms, toys, mirrors and mobiles.</p>							
Back to Sleep - Infant placed on back to sleep unless the Parent has provided a physician's written statement authorizing another sleep position for that particular infant that includes how the infant shall be placed to sleep and a time frame that the instructions are to be followed	If Informal Provider serves infants, but none are currently enrolled	If Informal Provider does not serve infants	If planning to care for infants in the future	Physician's written statement missing specific instructions and/or time frames	Infant(s) not placed on back to sleep with no physician's written statement and no incident or injury	Infant(s) not placed on back to sleep with an incident and/or injury	Incident resulting in death, extreme or permanent injury

Safe Sleeping and Resting Requirements	NE	NA	TA	Low Risk	Medium Risk	High Risk	Extreme Risk
Rolling Infant - When an infant can easily turn over from back to front and back again, Staff shall continue to put the infant to sleep initially on the infant's back but allow the infant to roll over into his/her preferred position and not re-position the infant	If Informal Provider serves infants, but none are currently enrolled	If Informal Provider does not serve infants	If planning to care for infants in the future	NA	Infant(s) not allowed to roll over into their preferred position or repositioned without an incident or injury	Infant(s) not allowed to roll over into their preferred position or repositioned with an incident and/or injury	Incident resulting in death, extreme or permanent injury
Sleepers/Swaddling - Sleepers, sleep sacks and wearable blankets fit according to the manufacturer's guidelines and will not slide up around the infant's face; Swaddling shall not be used unless the Informal Provider has been provided with a physician's written statement authorizing its use that includes instructions and a time frame for swaddling the infant	If Informal Provider serves infants, but none are currently enrolled	If Informal Provider does not serve infants	If planning to care for infants in the future	Physician's written statement missing specific instructions and/or time frames	Sleepers, sleep sacks, and wearable blankets not used according to manufacturer's guidelines without an incident or injury; Swaddling used and no written physician's statement on file without an incident or injury	Sleepers, sleep sacks, and wearable blankets not used according to manufacturer's guidelines and do not fit appropriately with an incident and/or injury; Swaddling used and no physician's written statement on file with an incident and/or injury	Incident resulting in death, extreme or permanent injury
Objects in Crib - No objects shall be placed or allowed on/in the crib with a sleeping infant	If Informal Provider serves infants, but none are currently enrolled	If Informal Provider does not serve infants	If planning to care for infants in the future; Objects in or on an unoccupied crib	NA	Objects in or on a crib with a sleeping infant (such as but not limited to toys, pillows, quilts, comforters, bumper pads, sheepskins, stuffed toys, blankets or other soft items) without an incident or injury	Objects in or on a crib with a sleeping infant (such as but not limited to: toys, pillows, quilts, comforters, bumper pads, sheepskins, stuffed toys, blankets, or other soft items) with an incident and/or injury	Incident resulting in death, extreme or permanent injury
Objects Attached to Crib - No objects shall be attached to crib with a sleeping infant	If Informal Provider serves infants, but none are currently enrolled	If Informal Provider does not serve infants	If planning to care for infants in the future; Objects attached to unoccupied crib	NA	Objects attached to a crib with a sleeping infant (such as but not limited to: crib gyms, toys, mirrors and mobiles) without an incident or injury	Objects attached to a crib with a sleeping infant (such as but not limited to: crib gyms, toys, mirrors and mobiles) with an incident and/or injury	Incident resulting in death, extreme or permanent injury

Safe Sleeping and Resting Requirements	NE	NA	TA	Low Risk	Medium Risk	High Risk	Extreme Risk
The infant's sleeping area is to be comfortable for a lightly clothed adult within a temperature range of 65 to 85 degrees, depending on season; adequate lighting	If Informal Provider serves infants, but none are currently enrolled	If Informal Provider does not serve infants	If planning to care for infants in the future	Sleeping area not comfortable due to the temperature not being within the required range without an incident or injury; Lighting not adequate without an incident or injury	Sleeping area not comfortable due to temperature not being within the required range which resulted in an incident or injury with no medical attention or with medical attention as a precaution; Lighting not adequate which resulted in an incident or injury with no medical attention or with medical attention as a precaution	Sleeping area not comfortable due to temperature not being within the required range with an incident and/or injury requiring professional medical attention; Lighting not adequate with an incident and/or injury requiring professional medical attention	Incident resulting in death, extreme or permanent injury
Wedges, other infant positioning devices and monitors shall not be used unless a Parent provides a physician's written statement that includes time frame for use	If Informal Provider serves infants, but none are currently enrolled	If Informal Provider does not serve infants	If planning to care for infants in the future; Positioning device in unoccupied crib	Physician's written statement missing specific instructions and/or time frames	Wedge, positioning device, monitor used without a physician's written statement without an incident or injury	Wedge, positioning device, monitor used without a physician's written statement with an incident and/or injury	Incident resulting in death, extreme or permanent injury
Infants shall not sleep in equipment other than safety-approved cribs, such as but not limited to, a car seat, bouncy seat, high chair or swing; Infants who arrive at the home asleep or fall asleep in such equipment, on the floor, or elsewhere shall be transferred to a safety approved crib	If Informal Provider serves infants, but none are currently enrolled	If Informal Provider does not serve infants	If planning to care for infants in the future	NA	Infant(s) allowed to sleep in equipment not approved for infant sleep without an incident or injury	Infant(s) allowed to sleep in equipment not approved for infant sleep with an incident and/or injury	Incident resulting in death, extreme or permanent injury
Swimming Pools & Water-Related Activities	Observe the swimming pool to determine if it is inaccessible. Observe swimming or ask the Informal Provider about procedures						
The pool area should be adequately fenced and secured. If the swimming areas are accessible, child protected locks and/or devices should be used to keep children safe	If there is no pool on the premises	If there is no pool on the premises	If planning to provide swimming activities in the future; Wading pool without water is accessible, if children not outside and the gate to the pool is unlocked	Pool area accessible but not accessed by children (gate latched but not locked)	Swimming pool accessible and accessed by child(ren) but no child(ren) entered the water; Wading pool used for water related activities accessible with no incident or injury	Swimming pool accessible and/or wading pool used for water related activities accessible with an incident and/or injury; Any other swimming related incident and/or injury	Incident resulting in death, extreme or permanent injury

	NE	NA	TA	Low Risk	Medium Risk	High Risk	Extreme Risk
Transportation	Review driver's license and observe child restraints. Ask the Informal Provider about procedures.						
All children shall be secured in a child passenger restraining system or seat safety belt in accordance with applicable state and federal laws	If the vehicle is not on site during the visit	Informal Provider does not provide transportation	If planning to provide transportation in the future; Vehicle is not currently in use	NA	No restraints or improperly restrained in accordance with state and federal laws (torn or frayed seat belts in use) with or without an incident and/or injury with no medical attention or with medical attention as a precaution	No restraints or not restrained in accordance with state and federal laws with an incident and/or injury requiring professional medical attention	Incident resulting in death, extreme or permanent injury
The driver shall have a current driver's license	If Informal Provider does not provide transportation	Informal Provider does not provide transportation	If planning to provide transportation in the future	NA	Driver does not have a driver's license and is providing transportation with no incident or injury	Driver does not have a driver's license with an incident and/or injury	Incident resulting in death, extreme or permanent injury
Written authorization for the child to receive emergency medical treatment shall be maintained in the vehicle	If documentation is inaccessible during visit	Informal Provider does not provide transportation	If planning to provide transportation in the future; Incomplete emergency medical information for less than 50% of transported children *If TA documented on previous visit, move to Low Risk	Incomplete emergency medical information for 50% or more of transported children; No emergency medical information for at least one transported child	Missing/incomplete emergency medical information for all children transported with no incident or injury	No emergency medical information on the vehicle with an incident and/or injury	Incident resulting in death, extreme or permanent injury
Child(ren) shall never be left unattended in a vehicle	If not observed during the visit	Informal Provider does not provide transportation	If planning to provide transportation in the future	NA	NA	Child(ren) left on a vehicle unattended	Incident resulting in death, extreme or permanent injury

Additional Non-Core CCDF Health & Safety Standards	NE	NA	TA	Low Risk	Medium Risk	High Risk	Extreme Risk
Immunizations			Some or None- TA				
Appropriate Disposal of Bio Contaminants				No Policies/Procedures on how to dispose of items containing body fluids			
Prevention of Infectious Diseases				Health & Hygiene practices; Policies & Procedures			
Prevention & Response to Food and Allergic Reactions				No Policies and Procedures and no allergies documented for children that have allergies			
Emergency Preparedness and Response Planning				No Policies/Procedures for Emergency Preparedness or Response			
Pediatric First Aid & Pediatric CPR				All staff do not have First Aid & CPR within 45 days of employment			
Recognition & Reporting of Child Abuse				No Policies/Procedures for recognition or reporting of Child Abuse/Neglect			
Health & Safety Orientation Certificate				Not all Staff have Health & Safety Orientation Certificate within 90 days of employment			

Additional Non-Core CCDF Health & Safety Standards	NE	NA	TA	Low Risk	Medium Risk	High Risk	Extreme Risk
Annual 10 hours of Health & Safety Training				All Staff have not obtained 10 hours of health & safety training annually			
Reporting Serious Injuries				Program did not report a serious injury			